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Members

Adams/McDonough Counties
American Public Works Assoc.
American Red Cross
Archer -Daniels-Midland
Associated Fire Fighters of IL
Central Management Services
Cities of Bloomington-Normal
Cities of Champaign-Urbana
City of Aurora
City of Chicago
City of Joliet
City of Naperville
City of Peoria
City of Rockford
City of Springfield
Cook County ESDA
Dept. of Homeland Security/FEMA-Region V
DuPage County OEM
Federal Bureau of Investigation
Governor's Office
IL Assoc. of Chiefs of Police
IL Assoc. of Public Health Administrators
IL Assoc. of School Boards
IL Attorney General's Office
IL Board of Higher Education
IL Campus Law Enforcement Administrators
IL College of Emergency Physicians
Illinois Community College Board
IL Coroners Association
IL Department of Agriculture
IL Department of Corrections
IL Department of Human Services
IL Department of Military Affairs
IL Department of Natural Resources
IL Department of Public Health
IL Department of Transportation
IL Education Association
IL Emergency Management Agency
IL Emergency Services Management Assoc.
IL Environmental Protection Agency
IL Firefighters Association
IL Fire Chiefs Association
IL Fire Services Association
IL Fire Service Institute
IL Health Care Association
IL Law Enforcement Alarm System
IL Law Enforcement Training & Standards Bd.
IL Municipal League
IL National Emergency Number Association
IL Office of the State Fire Marshal
IL Public Health Mutual Aid System
IL Public Works Mutual Aid Network
IL Security Chiefs Association
IL Sheriffs Association
IL State Police
IL States Attorneys Association
Kane County ESDA
Lake County ESDA
Metro East (St. Clair-Madison)
Mutual Aid Box Alarm System
Quad Cities (Moline-E. Moline-Rock Island)
Regional Superintendents of Schools
School Crisis Assistance Team
Secretary of State Police
U.S. Attorney's Office
U.S. Postal Inspectors
Will County EMA

Committees

Bioterrorism
Chicago/Cook County UASI
Communications
Crisis Response & Prevention
Elected Officials
Emergency Management
Fire Mutual Aid
Information Technology
Law Enforcement Mutual Aid
Private Sector
Public Information
Science & Technology
Training
Transportation
Volunteers and Donations

ITTF POLICY STATEMENT 2009 (14)

TO: U.S. Department of Homeland Security, Federal Preparedness Fund Sub-recipients

FROM: Mike Chamness, Chair
Illinois Terrorism Task Force *Mike Chamness*

DATE: December 14, 2009

SUBJECT: Illinois Terrorism Task Force Policy Statement Regarding FFY 2009 NIMS Compliance Activities

INTRODUCTION

Governor's Executive Order 2003 (17) created the statewide homeland security advisory committee, the Illinois Terrorism Task Force (ITTF). The ITTF is responsible for developing and helping to implement the state's homeland security strategy as an advisory body to the Governor and overseeing the management and administration of federal preparedness grants. The task force continues to build upon a strong foundation of established working partnerships among its over 60 members, which represent all public safety agencies and associations and every community in Illinois.

GENERAL POLICY STATEMENT

Homeland Security Presidential Directive-5 "Management of Domestic Incidents" (HSPD-5) required the adoption of the National Incident Management System (NIMS) by all federal departments and agencies. This directive also required that federal preparedness assistance funding for states, territories, tribes and local jurisdictions be dependent on NIMS compliance. NIMS implementation is also linked to the National Response Plan (NRP), Homeland Security Presidential Directive-8, National Preparedness (HSPD-8), and the National Infrastructure Protection Plan.

In federal fiscal years (FFY) 2005 and 2006, states self-certified that they were making a "good faith" effort to implement the NIMS. The State of Illinois certified with the U.S. Department of Homeland Security (DHS) "that taken as whole" Illinois had successfully complied with the minimum 2005 and 2006

NIMS requirements as set forth by DHS. In FFY 2007, the self-certification process was changed to specific performance-based metrics, which were monitored for compliance by the U.S. Department of Homeland Security (DHS). The FFY 2008 and FFY 2009 compliance objectives were based primarily on previous years' requirements. The Federal Emergency Management Agency (FEMA) Incident Management Systems Integration (IMSI) Division continues to analyze the data received from NIMSCAST to help shape the future of the NIMS compliance program. FEMA's NIMS Resource Center website (<http://www.fema.gov/emergency/nims/index.shtm>) provides NIMS implementation information and documents to assist local jurisdictions with their NIMS compliance.

Future refinements to the NIMS compliance metrics will evolve as policy and technical issues are identified and clarified at the national level. Successful implementation of NIMS depends on the participation and integration of all state, territorial, tribal, and local jurisdictions and community-based organizations, including public, non-governmental, and private organizations that may have a role in disaster preparedness and response. Equally important to this effort is establishing a seamless relationship between the NRP and NIMS. Local jurisdictions complying with NIMS should identify and include appropriate organizations in their NIMS implementation efforts, including private sector emergency medical and hospital providers, transportation systems, utilities, and special facilities such as industrial plants, nuclear power plants, factories, military facilities, stadiums, and arenas.

VALIDATION OF STATE AND LOCAL NIMS COMPLIANCE

Illinois receives notification from FEMA of the current fiscal years' state requirements for NIMS compliance and recommended NIMS implementation activities for local governments in order to assist the state with its NIMS compliance determinations. Notice of the FY 2009 NIMS compliance objectives and metrics was received from the U.S. Department of Homeland Security in April 2009. FEMA has granted states the responsibility to manage compliance determination for their agencies and local jurisdictions. As for the allocation and award of funds, HSPD-5, Management of Domestic Incidents, requires NIMS adoption as a condition of Federal Preparedness Awards. Therefore, it is the responsibility of the State Administrative Agency (SAA) to determine the impact of NIMS compliance on the release of FFY 2010 funds.

FFY 2009 NIMS COMPLIANCE ACTIVITIES FOR LOCAL GOVERNMENTS

A local jurisdiction is considered NIMS compliant by the State of Illinois only after it has complied with the established requirements by September 30, 2009. This process requires self-certification through the NIMS Compliance Assistance Support Tool (NIMSCAST) and the submittal of a jurisdictional resolution adopting NIMS. The NIMSCAST web site can be accessed at <http://www.fema.gov/nimscast/index.jsp>. The SAA will monitor compliance on an ongoing basis to ensure that only those agencies registered and in compliance with the FFY 2009 guidelines will receive FFY 2010 funding from DHS grant programs administered by the SAA.

IMPACT OF NIMS COMPLIANCE ON FFY 2010 FUNDING

A. FOR LOCAL GOVERNMENTS

In order to receive DHS funding through the SAA in FFY 2010, the authorized representative of the recipient organization will be required to certify that the organization is compliant with the NIMS requirements as specified in this document and has completed and submitted all current NIMS compliance documents and self-certifications as established by FEMA and IEMA (29 Ill. Adm. Code 301.510). The recipient organization will be required to certify the following NIMS requirements have been met prior to the receipt of a grant reimbursement.

1. Local governments must certify they have submitted a copy of the jurisdiction's local legislation, executive order, resolution, or ordinance formally recognizing NIMS and adopting NIMS principles and policies to the Illinois Emergency Management Agency.
2. Jurisdictions have established an account in the NIMSCAST, completed FFY 2009 NIMS Compliance Objectives and Metrics for Local Governments, and submitted the metrics for roll up to FEMA.

The recipient organization will be required to maintain NIMS compliance, as established by FEMA and IEMA, throughout the performance period of the FFY 2010 grant in order to receive funding for the approved project. Failure to maintain NIMS compliance as outlined in this document within the performance period of the FFY 2010 grant will result in the termination of the applicable grant agreement(s).

B. FOR SCHOOLS AND HIGHER EDUCATION INSTITUTIONS

In order to receive DHS funding through the SAA in FFY 2010, the authorized representative of the recipient organization will be required to certify that the organization is compliant with the U.S. Department of Education's Office of Safe and Drug-Free Schools document: "NIMS Implementation Activities for Schools and Higher Education Institutions (HEIs)." For additional information, visit the Readiness and Emergency Management for Schools Technical Assistance Center at: <http://rems.ed.gov>
The recipient organization will be required to certify the following NIMS requirement has been met prior to the receipt of a grant reimbursement.

Schools and Higher Education Institutions must certify they have submitted a copy of the "Checklist: NIMS Implementation Activities For Schools And Higher Education Institutions (HEIs)" to the Illinois Emergency Management Agency.

The recipient organization will be required to maintain NIMS compliance, as established by IEMA, throughout the performance period of the FFY 2010 grant in order to receive funding for the approved project. Failure to maintain NIMS compliance as outlined in this document within the performance period of the FFY 2010 grant will result in the termination of the applicable grant agreement(s).

C. FOR NON-PROFIT ORGANIZATIONS

Nongovernmental organization sub-recipients are required to meet certain NIMS compliance requirements. All emergency preparedness, response, and/or security personnel in the nonprofit organization participating in the development, implementation, and/or operation of resources and/or activities awarded through the SAA will be required to certify the following NIMS requirement has been met prior to the receipt of a grant reimbursement.

Non-profit organizations must certify that at least one individual (presumably the security or safety director of the facility) has completed the IS-700 NIMS: An Introduction by submitting a copy of the Emergency Management Institute Certificate of Achievement to the Illinois Emergency Management Agency.

EFFECTIVE DATE OF THE POLICY

This policy is effective as of October 1, 2009.